

**MINUTES OF THE 23rd MEETING OF THE FINANCE COMMITTEE
(BY VIDEO CONFERENCING)
HELD AT 11:00 AM ON 19TH OCTOBER, 2020
AT NATIONAL INSTITUTE OF TECHNOLOGY, UTTARAKHAND**

The following members were present:

- | | | |
|-------------------------------|---|----------------------------------|
| 1. Prof. Shyam Lal Soni | - | Director & In-charge Chairman |
| 2. Shri Madan Mohan | - | Additional Director General, MoE |
| 3. Shri Dhananjay Kumar Singh | - | Nominee of JS (IFD), MoE |
| 4. Prof. Pramod Agarwal | - | Nominee of Director, IIT Roorkee |
| 5. Dr. Dharmendra Tripathi | - | Member Secretary |
| 6. Dr. Gurinder Singh Brar | - | Special Invitee |
| 7. Dr. Vinod Singh Yadav | - | Special Invitee |
| 8. Dr. Vikas Pratap Singh | - | Special Invitee |

At the outset Member Secretary, Finance Committee welcomed the I/c. Chairman and all the members, and requested I/c. Chairman, Finance Committee to preside over the today's meeting of the Finance Committee.

Director, IIT Roorkee could not attend the meeting due to prior commitments but he nominated Prof. Pramod Agarwal, Department of Electrical Engineering, IIT Roorkee to attend the meeting on his behalf.

The Committee discussed the following agenda and resolved as under:

FC 23.01: To confirm the Minutes of the 22nd Meeting of the Finance Committee.

The Minutes of the 22nd Meeting of the Finance Committee, duly approved by the Chairman, are enclosed as **(Annexure FC 23.01)**.

The Finance Committee is requested to confirm the same.

Resolution: Confirmed.

FC 23.02: Action Taken Report.

The Action Taken Report is as below:

Agenda Item No.	Agenda Items	Action Taken
FC 22.01	To confirm the Minutes of the 21 st Meeting of the Finance Committee.	Noted.
FC 22.02	Action Taken Report.	Noted.
FC 22.03	To approve the Revised Cost Estimates of NIT Uttarakhand as approved by Public Investment Board (PIB), Ministry of Finance.	Noted & Implemented.
FC 22.04	To apprise the Committee Members about the Judgment of Hon'ble High Court of Uttarakhand with regard to Writ Petition filed by an Alumni of NIT Uttarakhand, and seek approvals / instructions on specific directions of the court.	Noted & Implemented.

Tripathi
allow

FC 22.05	To approve the cost estimates in the DPR for the construction at temporary / extension campus, Srinagar Garhwal.	Noted & Implemented.
FC 22.06	To give directions and grant permission on mode of deciding the construction agency for initiating construction activities at temporary campus.	Noted & Implemented.
FC 22.07	To approve the Constitution of an Institute Level Project Monitoring Committee for Campus Construction Projects.	Implemented.
FC 22.08	Hiring of Office-cum-Residential Accommodation for the Director.	Noted & Implemented.
FC 22.09	Approval regarding Waiver of Fees in Odd Semester 2020 due to situation arising out of COVID-19 pandemic.	Noted & Implemented.
FC 22.10	Grant of Extra Work Allowance to the Non-Teaching staff members	Withdrawn.
FC 22.11	Extension of Contract Period of Consultants (Audit) and Consultants (Procurement).	Implemented.
FC 22.12	Approval of expenditure of Rs. 30.36 lakh for BhumiPujan and Laying of Foundation Stone of Permanent site of NIT Uttarakhand.	Noted & Implemented.
FC 22.13	To confirm the Minutes of the 7th & 8th Meeting of the Building and Works Committee.	Noted & Implemented.
FC 22.14 (A)	The Finance Committee observes that the Fees Waiver in Odd Semester 2020 is approved through a note to the Chairman, BoG.	Noted for Implementation.

The Finance Committee is requested to note the same.

Resolution: *Noted with following modifications:
Item no. 22.03 & 22.04 - Noted for compliance.*

FC 23.03: **Deliberation on the detailed cost comparison of shifting the students back from NITUK-Satellite Campus, Jaipur to NITUK-Parent Campus, Srinagar (Garhwal).**

In compliance with the resolution of the Finance Committee vide agenda item no. FC 21.03, a committee was constituted for detailed cost comparison of shifting the students back to Srinagar Campus. Accordingly, the constituted committee has submitted its report for deliberation on the detailed cost comparison for further submission to the Ministry. Copy of report is enclosed as **(Annexure FC 23.02)**.

As per the Committee Report, the total estimated expenditure of **Rs. 2,41,34,216/-** (Rupees Two Crore Forty One Lakh Thirty Four Thousand Two Hundred Sixteen Only) to be incurred on one time Transportation, Hiring of Hotel Rooms and providing other necessary facilities, if students shifted from NITUK-Satellite Campus, Jaipur to NITUK-Parent Campus, Srinagar (Garhwal) for the year 2020-21.

The Finance Committee is requested to deliberate upon the same.

Resolution: *The Finance Committee strongly deliberated that shifting of Satellite Campus of NIT Uttarakhand along with Faculty and Non-Teaching staff to the Parent Campus will be done immediately on or before 01st November 2020.*

A separate proposal will be placed before the FC and BoG in reference to MHA guidelines regarding opening of schools/institution for students accommodation

and other related requirements. GFR-2017 provisions will be strictly followed in implementation of any financial activity/proposal.

FC 23.04: Regularization of services on completion of Ph.D. of Dr. Bibhash Kumar, Trainee Teacher, Department of Civil Engineering.

Dr. Bibhash Kumar joined the Institute on 09/07/2014 as Trainee Teacher under the Trainee Teacher Scheme on the basis of B.Tech. qualification. He was deputed for M.Tech./Ph.D. course work at IIT Roorkee for a period of one year w.e.f. 16/07/2014 vide Office Order No.A-206 dated 15/07/2014 and acquired the Ph.D. Degree on 30/04/2020.

As per clause no.4 of contract agreement dated 15/07/2014 signed by Dr. Bibhash Kumar and Director, NIT Uttarakhand, the Party No.1 (TT) shall complete his/her M.Tech. and Ph.D. within period of 5-8 years from the date of joining NITUK as Trainee Teachers. Dr. Bibhash Kumar has acquired Ph.D. Degree within the stipulated period.

As per Trainee Teachers Scheme notified by the Ministry of HRD vide letter no. F.23-12/2009-TS.III (Pt.) dated 22/03/2013, "on successful completion of Ph.D. Programme within stipulated period, the Trainee Teacher will be absorbed as an Assistant Professor in that NIT in regular scale with all associated benefits and services rendered as trainee will be counted for various benefits admissible to employees of NITs."

The Institute has also carried out Performance Review of the above Trainee Teacher through duly Constituted Committee of Experts and his performance is found to be satisfactory and nothing adverse has been found against him. There are no disciplinary and vigilance cases pending against him.

The Finance Committee is requested to deliberate upon the same and issue necessary directions to regularize the services of Dr. Bibhash Kumar, Trainee Teacher, Department of Civil Engineering as per Trainee Teacher Scheme.

Resolution: Approved.

FC 23.05: Regarding approval of transfer benefits to the employees upon transfer to Satellite Campus, Jaipur and vice versa.

With reference to MHRD letter no. 33-1/2012-TS.III, dated 03rd December 2018 Satellite Campus of NIT Uttarakhand was created in MNIT Jaipur Campus (copy enclosed as **Annexure FC 23.03**). For smooth functioning of campus faculty along with supporting staff was transferred from NITUK-Parent Campus to NITUK-Satellite Campus and vice versa. Against which concerned employees claimed CTG, Transportation Charges, TTA, Joining Time etc. as per rule. Institute employees have incurred huge amount on Transportation and shifting of their families to Jaipur and vice versa.

Institute has submitted a proposal with comparative statement of expenditure to Ministry of Education vide letter no. NITUK/2019/Reg.Office/2219 dated 25/01/2019 in the shape of Statement of Case (SoC) (copy enclosed as **Annexure FC 23.04**) regarding release of transfer benefits to the employees. But still no communication from Ministry is received by the Institute in this regard.

Bibhash Kumar
Allowed

Institute has also received many representations regarding release of transfer benefits as per the prevailing norms of Govt. of India (copy enclosed as **Annexure FC 23.05**).

Keeping in view the expenditure incurred by the employees, the Finance Committee is requested to approve the grant of CTG, Transportation Charges, TTA, Joining Time etc. to the related faculty and staff members as per Govt. of India norms.

Resolution: *It is to be done at Institute level as per rules.*

FC 23.06: **To apprise the Finance Committee members about the progress in the construction activities planned at the Extension Campus of the Institute at Srinagar Garhwal.**

In compliance of the resolve BoG 27.06, a Memorandum of Understanding (MoU) was signed with CPWD on 29.08.2020 to entrust the works relating to Site Development and the Construction of Non-Residential & Residential Buildings at Extension Campus of NIT Uttarakhand at Srinagar (Garhwal). Subsequently, based on the terms and conditions of MoU, an Administrative Approval & Expenditure Sanction (A/A & E/S) of **Rs. 37.72 Crores** (as detailed in the table below) against the work of the "**Construction Phase-1: Site Development and the Construction of Girls & Boys Hostels**" was granted to the CPWD vide letter no. NITUK/AD(P&D)/2020/726 dated 11.09.2020. The related documents are enclosed as (**Annexure FC 23.06**).

Sl. No.	Description of Items	Civil Component (Rs.)	Electrical Component (Rs.)	Total (Amount in Rs.)
1	Girls & Boys Hostel (G+2 RCC Structures, Plinth Area: 7500 sqm.)	210598433	51174692	261773125.00
2	Development of Site	82664000	2415000	85079000.00
	Total (D)	293262433.00	53589692.00	346852125.00
	Add 3% contingencies on (D)	8797872.99	1607690.76	10405563.75
	Add EPF & ESIC @ 4.25% on (D)	12463653.40	2277561.91	14741215.31
	Add Quality Assurance @ 0.5% on (D)	1466312.17	267948.46	1734260.63
	Add LabourCess @ 1% on (D)	2932624.33	535896.92	3468521.25
	Grand Total (Amount in Rs.)	318922895.89	58278790.05	377201685.94
		Total amount in Rs. Crores		37.72

The Finance Committee is requested to note the same.

Resolution: *Noted with a condition that no escalation in financial estimate will be permitted in future. Time frame for construction should be strictly adhered.*

FC 23.07: **Status of procurement activities under TEQIP-III.**

The details of the project and procurement activities conducted under this project are as mentioned below:

Details of the project and procurement activities	
Month of sanction of TEQIP-III Project	March 2018
Month of sanction of the Funds on PFMS for the Project	July 2018

Date of Institute Procurement Plan 2018 approved by the Finance Committee and Board of Governors:	29.03.2019
Date of Institute Procurement Plan 2018 approved by the NPIU	25.04.2019
No. of Packages proposed in the Institute Procurement Plan 2018	47
No. of Packages Initiated from the approved Institute Procurement Plan 2018	28
No. of Packages Completed from the IPP 2018	18
No. of Packages Cancelled and withdrawn from the IPP 2018	24
Date of Revised Procurement Plan-I 2019 approved by the FC and BoG	30.09.2019
No. of Packages proposed/revised in the Institute Procurement Plan-I 2019	20
No. of Packages Initiated from the Revised Institute Procurement Plan-I 2019	08
No. of Packages Completed from the IPP 2019	01
No. of Packages cancelled from the Revised Institute Procurement Plan-I 2019 as per the revised procurement guidelines issued by NPIU dated 22.06.2020	19

Date of the approval of the Revised Procurement Plan-II* July 2020 for the GeM items only (approved by the Hon'ble Director):

* The items in the revised procurement plan-II July 2020 were already in the approved revised procurement plan-I Sept. 2019. Only the change in the method of procurement has been made in one package i.e. from shopping to GeM. This has been done as per the revised procurement guidelines issued by the NPIU dated 22.06.2020.

Details of the Revised Procurement Plan-II	
No. of Packages in the Revised Procurement Plan-II July 2020 (for the items procured through GeM portal)	06
No. of Packages Committed from the Revised Procurement Plan-II July 2020	03
No. of Packages In-Progress from the Revised Procurement Plan-II July 2020	02

Details of the Budget	
Total Budget Allocated after Curtailment	Rs. 12,15,00,000/-
Total Budget Allocated for Procurement after Curtailment (considering limit* upto 70%)	Rs. 8,50,50,000/- (* earlier limit for procurement activities is upto 60% of the total budget only but now NPIU has revised it upto 70% as per Annexure FC 23.07)

Asyathi
Sharma

Total Budget Allocated for Procurement of Goods	Rs. 7,89,75,000/-
Total Budget Utilized against Procurement of Goods	Rs. 3,27,72,040/-
Total Budget against Committed Packages for Procurement of Goods	Rs. 39,45,740/-
Total Budget against In-progress packages for Procurement of Goods	Rs. 24,00,000/-
Total Budget (Utilized, Committed and In-progress package) against Procurement of Goods	Rs 3,91,17,780/-
Total Budget Unspent under Procurement of Goods	Rs. 3,98,57,220/-

The details of the packages under the categories completed, committed and in progress along with their purchase value/estimated costs are mentioned in **(Annexure FC 23.07)**.

Submitted for kind information of the Finance Committee.

Resolution: Noted.

FC 23.08: Ratification of the Revised Procurement Plan-II, July 2020 (through GeM Portal only) approved by the I/c Chairman BoG and the Director.

With reference to the email of NPIU dated 24.04.2020 **(Annexure FC 23.08)**, it was instructed that no Purchase Order will be issued by the Institute from TEQIP-III funds on or after 24.04.2020. Further, through e-mail circular dated 22.06.2020 **(Annexure FC 23.08)**, NPIU revised its procurement guidelines and had also asked the TEQIP-III funded Institutes to cancel all the packages in-progress (till issuance of PO dated 24.04.2020). Moreover, it has been asked for initiating the process through fresh procurement plan on PMSS.

Therefore, all the packages in-progress packages as per the details given in **(Annexure FC 23.08)** were cancelled with the approval of the Competent Authority. Moreover, as per the guidelines of NPIU and considering the time constraint of the project completion (earlier it was 30.09.2020 but now extended to 31.03.2021 as per **Annexure FC 23.08**), a Revised Procurement Plan-II (July 2020) was prepared for the items that were already in the approved plans and could be procured through GeM Portal. Since, as per the Revised Guidelines, the procurement activities can process through GeM or NIC-CPP portal only.

All the six packages were already in the list of approved revised plan-I and even out of them only in one case (*Isolated Four Channels Digital Oscilloscope with its Differential Probe* i.e. S. No.5, **Annexure FC 23.08**) a modification in the procurement method was made i.e. from Shopping to GeM Goods. Considering the time constraint of the project, the same was approved by the I/c Chairman BoG and the Director on 24.07.2020. The related documents are enclosed as **(Annexure FC 23.08)**.

The Finance Committee is requested to note and ratify the same.

Resolution: Ratified.

FC 23.09: Approval of Revised Procurement Plan-III, September 2020 for the items to be procured through GeM or NIC-CPP Portals.

With reference to the email circular dated 22.06.2020 **(Annexure FC 23.09)**, NPIU

Handwritten signature: Anubhuti
Handwritten signature: Anubhuti

revised its procurement guidelines and instructed as follows:

All procurements such as procurement plans, initiated or in progress procurements (all stages until issuances of PO) shall stand cancelled. Also, as per point (vii) of the circular, the Institutions, ATUs and SPIUs have to upload and submit the fresh Procurement Plans in PMSS (for unspent allocation on procurement) in accordance to the Revised Procurement Guidelines. The packages so prepared shall be initiated as per the Revised Procurement Guidelines only.

Now, as the project has been extended to till 31.03.2021 (**Annexure FC 23.09**) and also the Institute has an unspent amount of **Rs. 3,98,57,220/-** (Rupees Three Crores Ninety Eight Lakhs Fifty Seven Thousand Two Hundred Twenty Only) under procurement of goods. Therefore, a fresh Procurement Plan has been prepared after considering the old packages that were in progress but cancelled due to revised guidelines and some new packages (S.No. 1 to 8). The total cost of all the packages is taken on the higher side than the unspent amount as the cost of each package considered in the revised procurement plan-III (Sept. 2020) is an estimated cost. The same plan has been approved by the Institute Level Purchase Committee on 28.09.2020 for the kind approval of the BoG. (**Annexure FC 23.09**). The related documents are enclosed as (**Annexure FC 23.09**).

The Finance Committee is requested to approve the Revised Institute Procurement Plan-III September 2020 for initiating the procurement activities from the TEQIP-III funds as per the revised guidelines of NPIU.

Resolution: *Approved. TEQIP-III guidelines will be followed while implementation.*

FC 23.10: **Approval of Annual Accounts Statement for the Financial Year 2019-20.**

The Annual Accounts Statement for the Financial Year 2019-20 is prepared and presented before the Finance Committee for approval so that same can be submitted to the Office of the Comptroller and Auditor General (C&AG) for commencement of Audit, as per the mandate.

The copy of same is enclosed as (**Annexure FC 23.10**). The salient features of the Annual Accounts Statement are furnished as under:

(Figures in Lakhs)

INCOME	AMOUNT
Grant Appropriation	2,961.87
Fee & other Receipts	1,213.44
TOTAL	4,175.31
EXPENDITURE	
Salaries & other benefits	1,639.74
Other Expenses	1,322.13
Net Surplus	1,213.44
TOTAL	4,175.31

SOURCES OF FUND	AMOUNT
Current & Other Liabilities	4,800.08
Earmarked Fund	354.47
Capital Fund	8,862.73
R&D Project	78.82
TOTAL	14,096.09

APPLICATION OF FUND	AMOUNT
Fixed Assets including WIP	2,668.75
Bank Balance	2,699.81
Cash	-
Others	326.00
Investment	8,341.35
Loans, Advances & Deposits	60.20
TOTAL	14,096.09

The Finance Committee is requested to approve the Annual Accounts for the Financial Year 2019-20 and the same will be submitted to the Office of the Comptroller and Auditor General (C&AG) for Audit, as per the mandate.

Resolution: Approved.

FC 23.11: Regarding starting of new Post Graduate (M.Tech.) Program in Civil Engineering with Specialization in Geotechnical Engineering and Post Graduate (M.Tech.) Program in Mechanical Engineering with Specialization in Thermal Engineering.

At present, the Department of Civil Engineering is having M.Tech. Program in Transportation Engineering and Structural Engineering specialization with a total intake of 19 seats. As on date, the total faculty strength of the Department of Civil Engineering with specialization is as follows:

Sl. No.	Specialization	Total No. of Faculty	Faculty with PhD Qualification
1.	Structural Engineering	04	03
2.	Transportation Engineering	02	01
3.	Geotechnical Engineering	03	03
4.	Environmental Engineering	01	--
5.	Hydraulics Engineering	01	--
	Total	11	07

Same as in the Department of Mechanical Engineering is having M.Tech. Program in Machine Design and Manufacturing Technology Specialization with a total intake of 19 seats. As on date, the total faculty strength of the Department of Mechanical Engineering with specialization is as follows:

Sl. No.	Specialization	Total No. of Faculty	Faculty with PhD Qualification
1.	Machine Design	05	04
2.	Manufacturing Technology	05	03
3.	Thermal Engineering	06	04
	Total	16	11

Senate of the Institute has principally approved the proposal of new specializations in M.Tech. Program in Mechanical and Civil Engineering in its 25th meeting with a condition that with reference to MHRD letter dated 27.12.2019, to avoid financial

implications which may arise later, the matter may be deliberated in FC and BoG of the Institute.

Although the number of seats in M.Tech. will remain same as approved earlier, however, the addition of new specialization in the Departments, may lead to financial implication on account of lab infrastructure, equipment and consumable items etc.

The Finance Committee is requested to deliberate upon and give directions regarding starting a Post-Graduate (M.Tech.) program in Geotechnical Engineering and Thermal Engineering specialization from Academic Session 2021-22.

Resolution: *The Finance Committee approves the proposal with a condition that no student intake will be increased and no additional faculty sanction is required for post Graduate (M.Tech.) programs in Civil Engineering with specialization in Geotechnical Engineering and Post Graduate (M.Tech.) program in Mechanical Engineering with specialization in Thermal Engineering.*

In addition to that financial implication regarding procurement of lab equipment will be met out through TEQIP-III project only.


FC 23.12: To confirm the Minutes of the 9th Meeting of the Building and Works Committee.

The Minutes of the 9th Meeting of the Building and Works Committee, duly approved by the Chairman, are enclosed as **(Annexure FC 23.11)**.

The Finance Committee is requested to note the same.

Resolution: *The minutes are to be confirmed by the Building and Works Committee in its next meeting and after that same will be placed before the Finance Committee for noting. In case if any financial implication, separate agenda will be placed before the Finance Committee.*

The meeting ended with vote of thanks to the Chair.



(Dr. Dharmendra Tripathi)
Member Secretary, FC

भ्यासाध्यरयेतः विद्या

Approved/ Not Approved



(Prof. Shyam Lal Soni)
I/c. Chairman, FC